Safety Action Plans

- Multi-Agency Team (FHWA, NYSDOT, MPOs, Governor’s Traffic Safety Committee, DMV, DOH)
- Plans Rolled Out Over Several Years and Include:
  - 3 E’s (Engineering, Education, Enforcement)
  - Systemic Countermeasures (High Visibility Crosswalks, Raised Pedestrian Refuge Median, etc.)
  - Pedestrian Safety Corridors
  - Continuation of Hot Spot Program

FHWA Transportation Alternatives Program (TAP)

- Combined and Consolidated Specialized Grant Programs:
  - Transportation Enhancements Program (TEP)
  - Scenic Byways
  - Recreation Trails
  - Safe Routes To School (SRTS)
- Boulevards

Federal-Aid Essentials for Local Public Agencies

- New Federal Website (www.fhwa.dot.gov/federal-aidessentials) – Short 5-7 Minute Videos
- Federal-Aid Simplified!
- New Materials Added Continuously

PLAFAP Manual Update (www.dot.ny.gov/plafap)

- Chapter EB Issuances & Updates:
  - Chapters 1-4: Budget, Program & Technical Updates – in Clearance Review
  - Chapter 5: Accounting & Reimbursement Procedures – EB 14-021
  - Chapter 6: Consultant Procurement & Administration - Conflict of Interest Clarifications – in Clearance Review
PLAFAP Manual Update (cont.)

- Chapter 7: Overview of Environmental Process
  December, 2012 – EB 12-048
- Federal Environmental Approval Worksheet (FEAW) – Issued 2013, Updated 2014 - in Clearance Review
- Chapter 13: Civil Rights Requirements – in Clearance Review

Emergency Relief Programs (Federal)

- FHWA Emergency Relief (ER) Program
- FEMA Public Assistance (PA) Program
- Program Eligibility Based on Functional Classification (FC) of Roadway
  - CRITICAL - CONFIRM FC Prior to submitting Projects for Reimbursement
  - Functional Class Viewer:
    - http://gis.dot.ny.gov/fc/

FHWA EMERGENCY RELIEF (ER) PROGRAM

- Assists States & Local Jurisdictions (600+)
- Provides Funds to Repair or Reconstruct ER-Eligible Highways Damaged by:
  - Natural Disasters
  - Catastrophic Failures from External Cause
  - Restore to Pre-Disaster Condition
  - Damage Must be Directly Related to an Eligible Event

  www.fhwa.dot.gov/programadmin/erelief

Documentation Required for ER Reimbursement

- Force Account Work
  - Summary of Time/Payroll Costs for Labor
  - Type of Equipment Used & Hours Used
  - Materials Used & Cost per Unit
- Contract Work
  - Detailed Summary of Labor, Equipment, & Material Costs, or Costs by Pay Item
  - Be prepared to answer “Who Worked Where & When?”

FEMA Public Assistance (PA) Categories

- Emergency Work
  - A: Debris Removal
  - B: Emergency Protective Measures
- Permanent Work
  - C: Roads & Bridges
  - D: Water Control Facilities
  - E: Buildings & Equipment
  - F: Public Utilities
  - G: Parks, Recreational Facilities, Other Facilities

 www.fema.gov

Consolidated Local Street & Highway Improvement Program (CHIPS)

- Funded at $438.1 M for SFY 15-16 (Same as Last Year)
- Applicable Rules for Program are in Section 10-c of NYS Highway Law & Municipal Law
- CHIPS Website (www.dot.ny.gov/programs/chips)
**CHIPS Formula**

- Municipality "Funding Levels" from Programs that Preceded CHIPS
- Historical Percentages for Counties, Cities, Towns, & Villages from Programs that Preceded CHIPS
- Local Highway Mileage (All Municipalities)
- Motor Vehicle Registrations (Counties & NYC)

**Mileage for CHIPS Funding**

- Only Travel Lanes Included in Local Highway Inventory (LHI)
- Parking & Turning Lanes Excluded
- Process for Adding a New Road to LHI:
  - Municipality Provides NYSDOT a Resolution & Map of Road
  - NYSDOT Reviews for Consistency with Program Requirements
  - If Consistent, NYSDOT Enters New Road into LHI
  - If any Major Discrepancies, NYSDOT Contacts Municipality for Appropriate Resolution

**NYSDOT's Geographic Information System (GIS)-Based Mileage Project**

- FHWA Now Requires States to Report Data on All Public Roads in a Geospatial Format such as GIS
- In August 2013, NYSDOT Initiated a Pilot GIS Project in Albany, Saratoga, Schenectady, & Warren Counties
- Project Results Currently under Review by Affected Counties
- Currently, 2014 LHI File Being Used for CHIPS Calculations. After Statewide Project is Completed in a Few Years, GIS-Based LHI Will be Used for CHIPS Apportionment Process

**CHIPS Project Eligibility Criteria**

- By a Municipality
- Highway-Related Purposes
- Public Purpose (not for private benefit)
- 10 Year Minimum Service Life or Where the Project is:
  1. Microsurfacing
  2. Paver placed surface treatment
  3. Single course surface treatment involving chip seals or oil & stone
  4. Double course surface treatment involving chip seals or oil & stone

**Eligible CHIPS Work Types**

- Highway Resurfacing
- Highway Reconstruction
- Bridge/Culvert Rehabilitation
- Bridge/Culvert Replacement
- Traffic Control Devices
- Other (transportation equipment with 10 or more year service life, guiderail, ROW fencing, highway lighting, transportation storage buildings, etc.)

**Ineligible Expenditures Under CHIPS**

- Pothole Repairs & Crack Sealing
- Snow Removal & Street Cleaning
- Brush & Weed Control
- State Highways (including Locally-Maintained State Arterials in Cities)
- Parking Lots/Parking Garages Not Linked to Transit
- Sanitary Sewers, Water Lines, & Other Utilities Not Impacted by a Hwy/Bridge Reconstruction Project
- Interest Payments on a Bond or Note (Debt Service) Issued to Pay for a Highway Capital Project
Americans with Disabilities Act (ADA)

- Law Enacted by Congress in 1990
- Requires Municipalities to Review Projects for ADA Compliance Per Title VI of Civil Rights Act of 1987
- Requires Installation of Curb Ramps Where Curbs or Other Barriers Restrict Access to Sidewalks/Other Pedestrian Walkways
- Requires ADA Transition Plans

ADA Compliance When Local Roads Altered

Curb Ramps Must be Provided Where Curbs or Other Barriers Restrict Access to Sidewalks/Other Pedestrian Walkways

- Must be Installed Before or During an “Alteration” Project
- “Alteration” is a Change that Affects the Usability of All or Part of a …Facility.
- Ramps Must Include Detectable Warnings (Domes) to Alert Vision-Impaired Individuals

ADA Compliance (cont.)

Examples of Alterations:
- Additions of New Asphalt Layers
- In-Place Recycling, Microsurfacing, Mill & Fill, Mill & Overlay
- New Construction
- Rehabilitation/Reconstruction

“Maintenance” Applications (Oil & Stone, Sealing) Do Not Require Curb Ramps

Websites for Guidance:
3. www.access-board.gov

ADA Compliance (cont.)

- ADA Requires States & Municipalities to Develop an ADA Transition Plan to Address all ADA Deficiencies (http://www.fhwa.dot.gov/federal-aidessentials/catmod.cfm?id=32)
- NYSDOT’s ADA Transition Plan (https://www.dot.ny.gov/programs/adamanagement)
- Certification Section of CHIPS & EWR Reimbursement Request Forms Now Include an ADA Compliance Statement

CHIPS Reimbursement Request Form (CP73)

- Access via CHIPS Website (www.dot.ny.gov/programs/chips)
- Outdated Forms (Before April 2015) Not Accepted
- Complete All Required Entries

Extreme Winter Recovery (EWR) Appropriations

- $50 Million Appropriation for SFY 15-16 to Fund Capital Road Infrastructure Recovery Projects
- Reappropriation of EWR Funds from SFY 14-15
- Same Project Eligibility Criteria as for CHIPS
EWR Appropriations

- Funds Can be Used as Local Match for Projects having 10 Year Service Life
- Same Quarterly Payment Dates as CHIPS (June 15, 2015, Sept. 16, 2015, Dec. 15, 2015, & March 15, 2016)
- Preferable to Use EWR Appropriation Funds Prior to CHIPS Funds but Not Mandatory

EWR Appropriation Reimbursement Request Form (CP74)

- Access via CHIPS Website (www.dot.ny.gov/programs/chips)
- Separate Form from CHIPS
- EWR Appropriation Projects must be Tracked/Monitored Separately from CHIPS Projects
- Complete All Required Entries

CHIPS & EWR Documentation Checklist

MUNI: ____________ Pay Cycle: ____________

- Completed CP73 (CHIPS)
- Completed CP74 (Extreme Winter Recovery)
- Photos of ADA-Compliant Curb Ramp Installations (If Applicable) – When curb ramps are installed or upgraded before or during an “alteration” project, photos must be submitted.

CHIPS & EWR Documentation Checklist (cont.)

- □ Record of Materials & Contractor Invoices for Project
- □ Record of Equipment Used for Project
- □ Record of Labor Used for Project

Note: This info may be submitted in summary format using forms on CHIPS Website or a format of municipality’s choosing

CHIPS & EWR Documentation Checklist (cont.)

- □ Proof of Payment – Invoices marked paid & vouchers for receipt of materials and services are not considered sufficient proof of payment verified by a financial institution.
  
  Acceptable Proof of Payment:
  - Cancelled check to Contractors, Subcontractors and Vendors or
  - EFT Statements or EFT e-mail confirmations or
  - Bank Statements (including electronic documentation) or
  - Credit Card Statements

Good CHIPS & EWR Documentation

- Submit Documentation Checklist
- Summary Reports of Requested Information in Documentation Checklist
  Summaries Eliminate Need to Submit Individual Invoices, Vouchers, Timesheets.
- Curb Ramps - Photos of Ramps with Detectable Warnings Installed Before or During Project
- Proof of Payment
Inadequate CHIPS & EWR Documentation

- Individual Invoices or Vouchers – Contain only some requested information
- No Curb Ramp Photos where Ramps are required
- No Proof of Payment

Regional NYSDOT CHIPS and EWR Appropriation Representatives

- Albany: Brian Kirch (518-485-1379)
- Utica: Dave Clements (315-793-2620)
- Syracuse: Dan Petrella (315-428-4609)
- Rochester: Troy Samson (585-272-4858)
- Buffalo: Ed Rutkowski (716-847-3575)
- Hornell: Brent Rauber (607-324-8431)
- Watertown: Brian Reape (315-785-2357)
- Poughkeepsie: Doreen Holsopple (845-431-5977)
- Binghamton: Linda Halaburka (607-721-8274)
- Hauppauge: Brian Kralj (631-952-6128)
- NYC: Steve Ainspan (518-485-1755)

3 Main Funding Information Contacts & Partners

- Metropolitan Planning Organizations (MPOs)
- NYSDOT Regional Planning & Program Managers
- Counties & Neighboring Municipalities